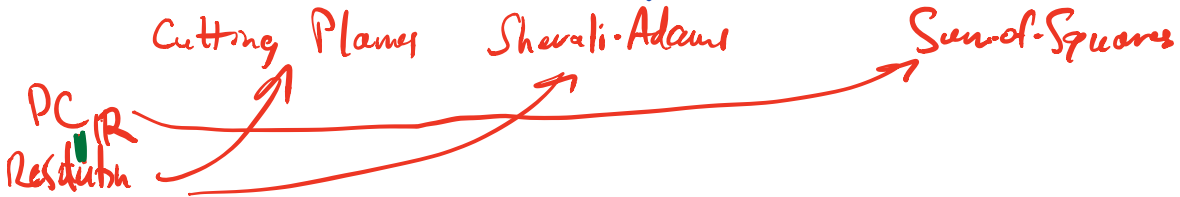


CSE 599S Proof Complexity & Applications  
 Lecture 14 18 Nov 2020

- Presentation paper/
  - see e-mail - papers listed are just samples
  - arrange time to talk with me about potential papers if you would like
  - = 25 min talk week of Dec 14-18.
  - send me ranked preferences (1-3)



$$F \quad (h_i \geq 0, \dots, h_m \geq 0) \\ (l_i \geq 0, \dots, l_m \geq 0)$$

↓  
 $x, y, z \geq 0$  dual var  

$$l_i (x + y + z - 1) \geq 0 \\ l_j (x + (1-y) + z - 1) \geq 0$$

Derivate that  $h \geq 0$

SA  $g_0 + \sum_i g_i l_i \equiv h$

Find SA proofs

LP deg  $d \geq \text{sum } n^{(d)}$

← multilinear reduce  
 each  $g_i = \sum_j q_j T_{p_i, N_j}$   $q_j > 0$   
 non-arg junk

SOS  $g_0 + \sum_i g_i l_i \equiv h$

each  $g_i$  is a sum of squares  
 $g_i = \sum_j R_{ij}^2$

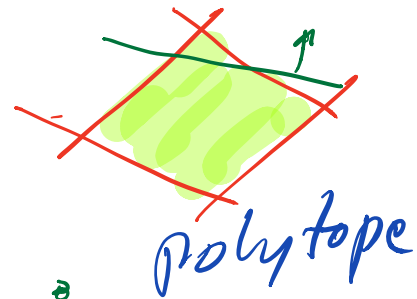
Find SOS proofs

Semi-definite program  
 SDP

deg  $d$  size of  
 $n^{(d)}$  SDP  $(\leq d)$

SDPs. vs LPs.

LP  
 min  $c^T x$  *objective*  
 $Ax = b$  *polytope*  
 $x \geq 0$



SDP  
 view 1:  
 min  $c^T x$   
 $Ax = b$   
 $x \geq 0$   
 → each  $x_i$  is an inner product

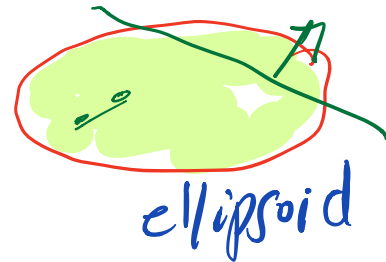
$$\begin{pmatrix} x_1 & \dots \\ \dots & \dots \end{pmatrix}$$

$$x = (x_{11} \dots x_{nn})$$

min  $c^T x$   
 $Ax = b$   
 $X \succeq 0$

Positive definite constraint

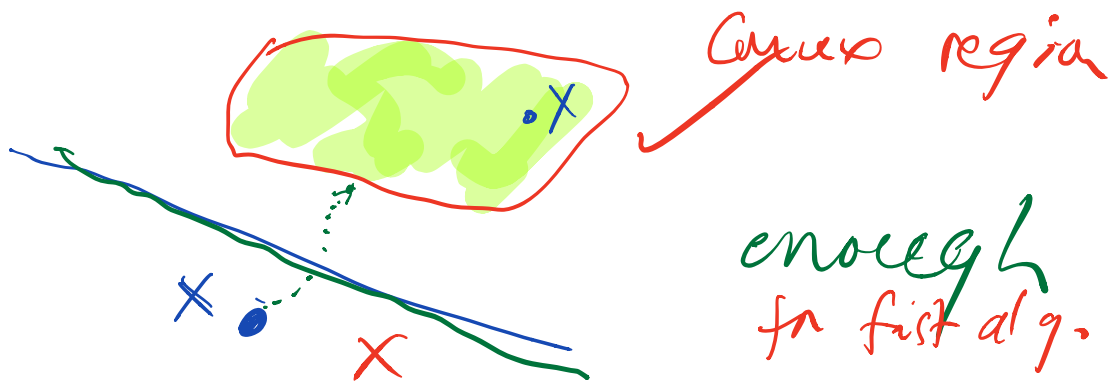
$X$  is symmetric square matrix  
 all eigenvalues  $\geq 0$   
 all eigenvalues are real



A

$$y^T X y \geq 0 \quad \forall y$$

Khachiyan's Ellipsoid Method for LP also "works" for SDPs.



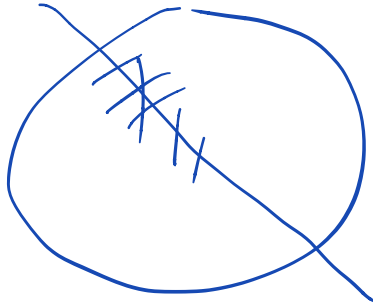
For LP immediate ellipsoid trick.

Can find deg  $d$  sos proof in time  $n^{O(d)}$

Optimization

MAXCUT

$(G, w)$



max total weight of edges crossing the cut

NP-hard

Best LP  $\frac{1}{2}$  approx

Best known given by an SDP  
SOS degree 2

Beyond SOS:  
 $h_1 > 0, \dots, h_n > 0$



$h > 0$  on  $K$ .

## Positivstellensatz

SOS

$$q_0 + \sum q_i h_i \equiv h$$

$q_i$  is SOS

if  $h_1 > 0$  and  $h_2 > 0$   
 then  $h_1 h_2 > 0$

## Positivstellensatz

$$q_0 + \sum_{S \subseteq \{n\}} q_S \prod_{i \in S} h_i \equiv h$$

$q_S$  is SOS

don't count  $S$  etc.  $q_S = 0$

Algorithm?

Dynamic version of Positivstellensatz:

Positivstellensatz Calculus

Can  
 Denote  
 $h$   
 means  
 $h > 0$

Rules:

Axioms:

1 trivial

$h_i$  input axiom

Inference:

$f$  multilin  
 multilinear( $f$ )

$$\frac{f, g}{f+g}$$



$$\frac{f, g}{af+bg}$$

(linear combo)  
 $a, b > 0$

$$\frac{f}{x \cdot f} \quad (1, \text{trivial})$$

$$\frac{f}{p^2 \cdot f} \quad p \text{ poly}$$

so far get SOS

$$\frac{f, g}{f \cdot g} \quad \text{Multiplication rule}$$

Measures

deg, size, bitsize

Lower bounds for size of  
PS calculus even  
for deg 2 not  
known

include

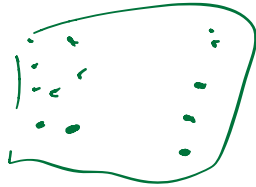
Lovasz-Schrijver  
proof system

Lower bounds for tree-like proofs

## Communication Complexity

Amotz Raz - has text book

two courses in past few years at UW



eg.  $X = \text{Sort}(y)^n$

Players

Alice

$x \in X$

Goal  
Compute  $f(x,y)$

Bob

$y \in Y$

eg.  $Y = \text{Sort}(x)^n$

01 →

← 0

→

←  $f(x,y)$

minimize # of bits sent



$x$   
→  
 $f(x,y)$

Trivial protocol

eg.

$n+1$  bits

Q: can get less?

$$EQ(x,y) = \begin{cases} 1 & \text{if } x=y \\ 0 & \text{o.w.} \end{cases}$$

trivial: optimal for EQ

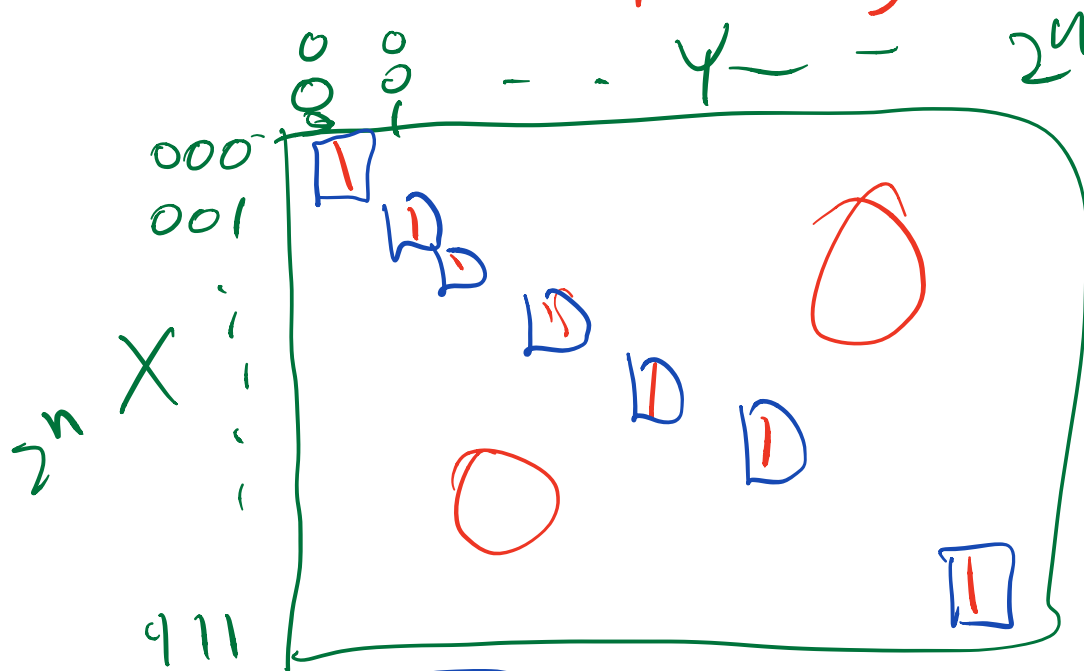
Observation: After each step  
 set of input consistent  
 with transcript

is of form  $(x, y)$

$$\underbrace{A \times B}_{x \times y} \subseteq \underbrace{X \times Y}$$

rectangle

$$A \subseteq X, B \subseteq Y$$



$\hookrightarrow 2^n$  rectangles EQ

$C(f) = \# \text{ of bits}$

$\Rightarrow > n$  bits of comm

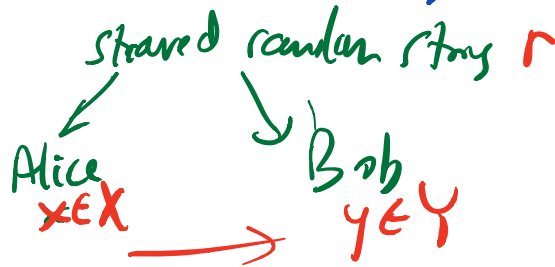
Randomized protocols & error  $\epsilon$ .

$\Pr(\text{protocol outputs } f(x,y))$

$C_\epsilon(f)$

$\geq 1 - \epsilon$

$C_\epsilon^{\text{pub}}(f)$

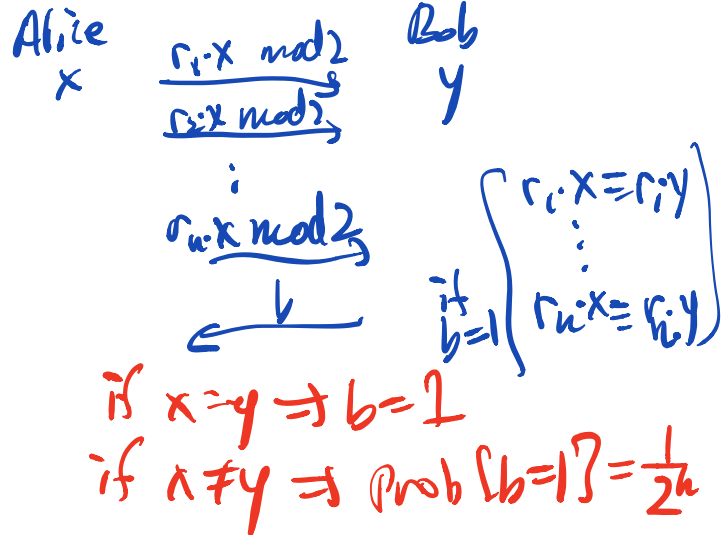
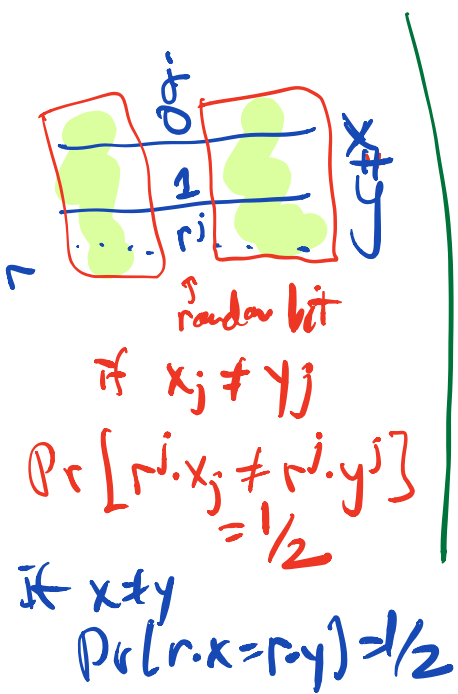


Claim

$C_{1/2}^{\text{pub}}(EQ) = k+1$

$\rightarrow C_\epsilon^{\text{pub}}(EQ) = O(\log^{1/\epsilon})$

shared  $r_1, \dots, r_n \in \{0,1\}^k$





Thm

$$C_{\epsilon}(f) \leq C_{\epsilon}^{\text{pub}}(f) + O(\log n)$$

Proof idea

Can approx any  
mult protocol by one  
with a short  $r$   
which Alice can  
flip herself  
& send to Bob